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PTO/SB/21 (08-00) Please type a plus sign (+) inside this box Customer No. 00270 **Application Number** 09/837,974 TRANSMITTAL Filing Date 04/19/2001 **FORM** First Named Inventor H. Horie et al. **Group Art Unit** 3682 (to be used for all correspondence after initial filing) **Examiner Name** M. Charles Total Number of Pages in this Attorney Docket Number TWA26USA Submission ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers (for an Application) After Allowance Communication to Group ☑ Fee Attached **⊠** Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment/Reply ☐ Licensing-related Papers Appeal Communication to Group (Appeal Notice, After Final ☐ Petition Brief, Reply Brief) ☐ Affidavits/declaration(s) Petition to Convert to a Provisional Proprietary Information Application Extension of Time Request ☐ Status Letter Power of Attorney, Revocation ☐ Express Abandonment Request Other Enclosure(s) (please identify below): Change of Correspondence Address ☐ Information Disclosure Statement - Terminal Disclaimer Terminal Disclaimer - Check for \$110.00 Certified Copy of Priority Request for Refund - (1) new sheet of drawing Document(s) - annotated sheet of drawing showing Response to Missing change Parts/Incomplete Application Remarks: Response to Missing Parts under 37 RECEIVED CFR 1.52 or 1.53 APR 2 0 2004 **GROUP 3600** SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or George A. Smith, Jr. Individual Name Signature Date *(*04/1*2*/04 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 04/13/04 Typed or printed name George A. Smith, Jr. Date Signature 04/13/04

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PTO/SB/17 (10-03)

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Kn wn EE TRANSMITTAL 09/837,974 **Application Number** Filing Date 04/19/2001 for FY 2004 2 H. Horie et al. First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. M. Charles **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 3682 Art Unit (\$) 110.00TOTAL AMOUNT OF PAYMENT TWA26USA Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order 3. ADDITIONAL FEES ✔ Check Credit card None arge Entity , Small Entity Deposit Account: Fee Fee Fee Description Code (\$) <u>Fee Paid</u> Code (\$) Deposit 08-3040 Account 2051 Surcharge - late filing fee or oath 1051 130 Number Surcharge - late provisional filing fee or 1052 2052 Deposit 25 50 Howson and Howson Account cover sheet Name 1053 1053 130 Non-English specification 130 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below Credit any overpayments Requesting publication of SIR prior to 1804 920 1804 920\* Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1,840 1805 1,840 Examiner action to the above-identified deposit account. 1251 110 2251 Extension for reply within first month FEE CALCULATION 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 2253 475 Extension for reply within third month 1253 950 arge Entity Small Entity Fee Paid Fee Description 1254 1,480 2254 740 Extension for reply within fourth month Code 1.005 Extension for reply within fifth month 1255 2.010 2255 770 2001 385 Utility filing fee 1001 1401 330 2401 165 Notice of Appeal 2002 Design filing fee 1002 340 170 1402 330 2402 165 Filing a brief in support of an appeal 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 290 2403 1403 1004 770 2004 385 Reissue filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 2005 80 Provisional filing fee 1005 160 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Fee from Ext<u>ra Claim</u>s Fee Paid 480 2502 **below** 1502 240 Design issue fee Х Total Claims -20\*\* = 1503 640 2503 320 Plant issue fee Independent - 3 130 1460 130 Petitions to the Commissioner 1460 Multiple Dependent 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Large Entity **Small Entity** 180 Submission of Information Disclosure Stmt 1806 180 1806 Fee Description 40 Recording each patent assignment per Fee Code (\$) Code (\$) 8021 40 8021 property (times number of properties) Claims in excess of 20 2202 9 1202 18 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 Independent claims in excess of 3 1201 86 2201 43 Multiple dependent claim, if not paid For each additional invention to be 2203 145 1810 770 2810 385 1203 290 examined (37 CFR 1.129(b)) \*\* Reissue independent claims 2204 43 1204 86 1801 770 2801 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 1802 900 2205 \*\* Reissue claims in excess of 20 1205 18 of a design application
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**or number previously paid, if greater; For Reissues, see above		Reduced by Basic Filling Fee Fale		SUBTUTAL (3) ((5) 110.00		
SUBMITTED BY				(Complete	(if applicable))	
Name (Print/Type)	George A. Smith, Jr.	Registration No. (Attorney/Agent) 24	,442	Telephone 215-54-9200		
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and over original patent

**(**\$)

SUBTOTAL (2)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/837974 Applicant: H. Horie et al.

Filed: 04/19/2001 TC/A.U.: 3682

Examiner: M. Charles Docket No.: TWA26USA Customer No. 00270

Commissioner for Patents

Alexandria, VA 22313-1450

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AMENDMENT

Sir:

This is in response to the Official action of March 8, 2004. Please amend the application as follows:

Amendments to drawings begin on page 2.

Remarks/Arguments begin on page 3.